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Susan LaMont

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

G.N. Cox III *et al.*

Application No.: 09/897,844

Filed: July 2, 2001

For: REGULATION OF ENDOGENOUS  
GENE EXPRESSION IN CELLS  
USING ZINC FINGER PROTEINS

Examiner: Unassigned

Group Art Unit: 1631

**NOTIFICATION OF ERROR IN SMALL ENTITY  
STATUS DETERMINATION UNDER 37 C.F.R. § 1.28(c)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Small entity status was inadvertently and mistakenly claimed in the present application, when it was filed on July 2, 2001. Accordingly, and in compliance with 37 CFR § 1.28(c), an itemization of deficiencies in fees is attached, along with a check in the amount of \$1,301.00 to cover these deficiencies. Current fee amounts reflect those effective on October 1, 2001.

Date	Type of fee	Amount paid	Current fee	Deficiency owed
July 2, 2001	Filing fee	\$355.00	\$740.00	\$385.00
July 2, 2001	Excess claims (71)	\$639.00	\$1278.00	\$639.00
July 2, 2001	Multiple dependent claim fee	\$135.00	\$280.00	\$145.00
July 2, 2001	Excess independent claims (2)	\$80.00	\$168.00	\$88.00
July 9, 2001	Excess independent claim (1)	\$40.00	\$84.00	\$44.00
Total		\$1,249.00	\$2,550.00	\$1,301.00

The application as filed contained six independent claims. Due to an erroneous calculation, Applicants paid for 5 independent claims on July 2, for a total payment of \$1,209.00. However, the "Filing Fee Rec'd" section of the filing receipt indicates receipt of a filing fee of \$1,249.00. Investigation of Attorney's debit account records indicates that a small entity fee for the additional independent claim, in the amount of \$40.00, was debited from the account on July 9, 2001.

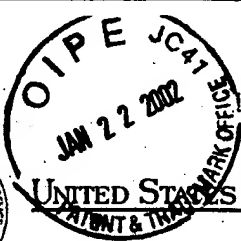
Please address any questions to the undersigned at the address and telephone number given below.

Respectfully submitted,

Date: November 14, 2001

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/897,844	07/02/2001	George Norbert Cox III	8325-0002.01

CONFIRMATION NO. 2083

FORMALITIES LETTER



\*OC000000006999004\*

Sean M. Brennan  
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Suite A100  
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Richmond, CA 94804

Date Mailed: 10/30/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

### Filing Date Granted

SCANNED, #12

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DOCKETED DSP

8325-0002.01

Resp to MP 12/30/01

LD 4/30/02

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